

# The increasing chain complex of a poset and the top-heavy conjecture

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SoCaIDM 2020

January 25, 2020

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**Top-Heavy Conjecture (Dowling and Wilson, 1974)**: Let  $M$  be a matroid of rank  $n$ . Let  $W_i$  be the number of flats of  $M$  of rank  $i$ . Then

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The **increasing chain complex** will serve as a combinatorial model for these numbers.

# The Top-Heavy Conjecture (for vector spaces)

**Top-Heavy Conjecture (for vector spaces):** Let  $V$  be a vector space of dimension  $n$  and let  $E$  be a finite set of vectors which span  $V$ . Let  $W_i$  be the number of subspaces of dimension  $i$  which are spanned by a subset of  $E$ . Then

$$W_i \leq W_{n-i} \text{ for all } i \leq \frac{n}{2}.$$

## The Top-Heavy Conjecture Examples

**Example:** Let  $E = \left\{ \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right\}$  and let  $V$  the span of  $E$ .

Then  $\dim V = 3$  and

$$W_0 = 1, W_1 = 3, W_2 = 3, W_3 = 1.$$

Note that

$$W_0 \leq W_3 \text{ and } W_1 \leq W_2$$

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More generally, if  $E$  is a set of linearly independent vectors which spans a vector space of dimension  $n$ , then

$$W_i = \binom{n}{i} = \binom{n}{n-i} = W_{n-i}.$$

So,  $W_i \leq W_{n-i}$ .

## The Top-Heavy Conjecture Examples

**Example:** Let  $E = \left\{ \begin{bmatrix} 1 \\ -1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \\ -1 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \\ -1 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ -1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \\ -1 \end{bmatrix} \right\}$ .

Let  $V$  be the subspace that  $E$  spans. Then  $\dim V = 3$  and

$$W_0 = 1, W_1 = 5, W_2 = 6, W_3 = 1.$$

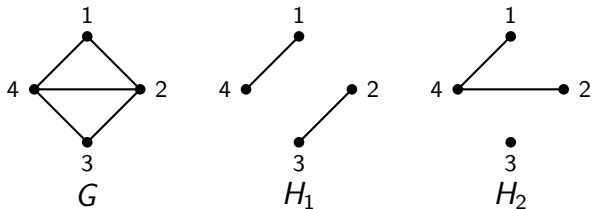
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# Flats in Graphs

Let  $G$  be a finite graph. A **spanning subgraph** of  $G$  is subgraph  $H$  such that  $V(H) = V(G)$ . A **flat** of  $G$  is a spanning subgraph  $H$  with the property that if  $e \in E(G) \setminus E(H)$ , then adding  $e$  to  $H$  decreases the number of connected components of  $H$ .



$H_1$  is a flat of  $G$ , but  $H_2$  is not since we can add 12 without decreasing number of connected components.

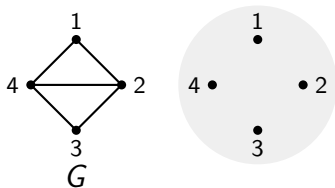
## Flats in Graphs

Given a flat,  $H$ , its **rank** is the maximal number of edges in a forest of  $H$ . Let  $W_i$  be the number of flats of  $G$  of rank  $i$ .

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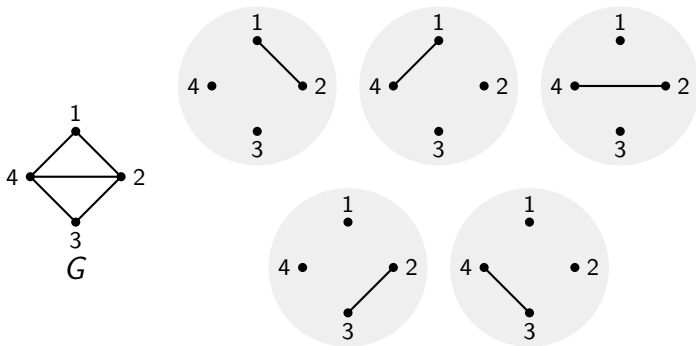


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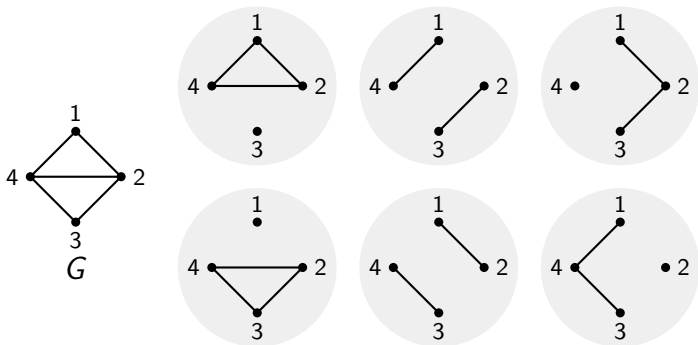


$$W_0 = 1, W_1 = 5$$

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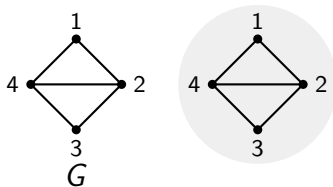


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**Example:**



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## Top-Heavy Conjecture (for graphs)

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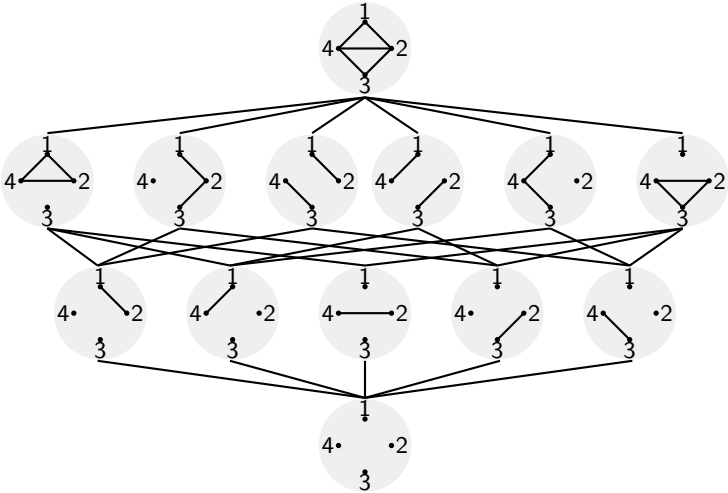
$$W_i \leq W_{n-i} \text{ for all } i \leq \frac{n}{2}.$$

This conjecture is a special case of the conjecture we mentioned about vector spaces which is in turn a special case of the general Top-Heavy Conjecture for matroids. The vector space version (and hence the graph version) was resolved by June Huh and Botong Wang in 2017, but the general case remains open.



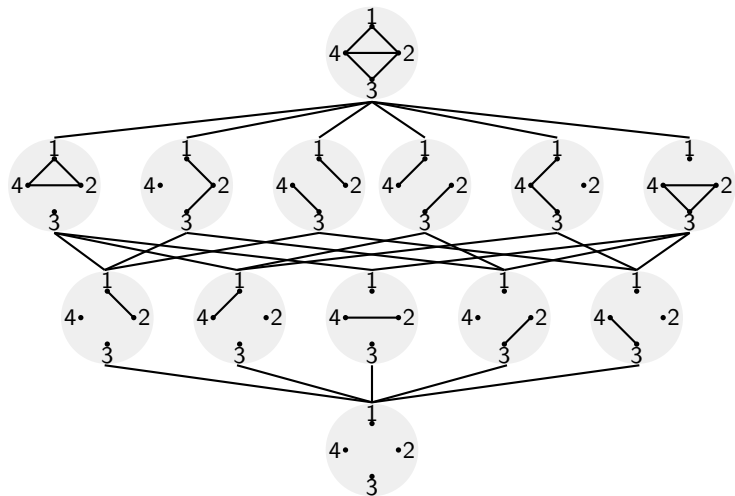
# Lattice of Flats

Ordering the flats of graphs by (edge set) inclusion gives a poset which turns out to be a lattice.



# Graded Posets

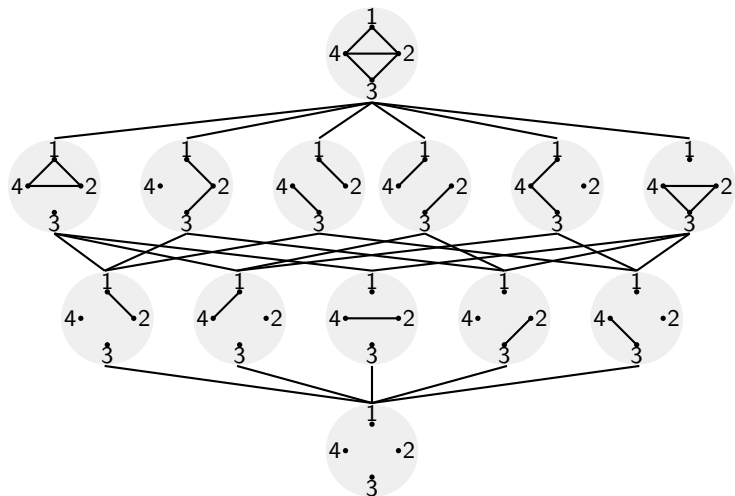
Let  $P$  be a poset with a minimum element  $\hat{0}$  and a maximal element  $\hat{1}$ . We say  $P$  is **graded** if every maximal chain from  $\hat{0}$  to  $\hat{1}$  has the same length.



# Rank Function

If  $P$  is graded, then it has a **rank function** defined by

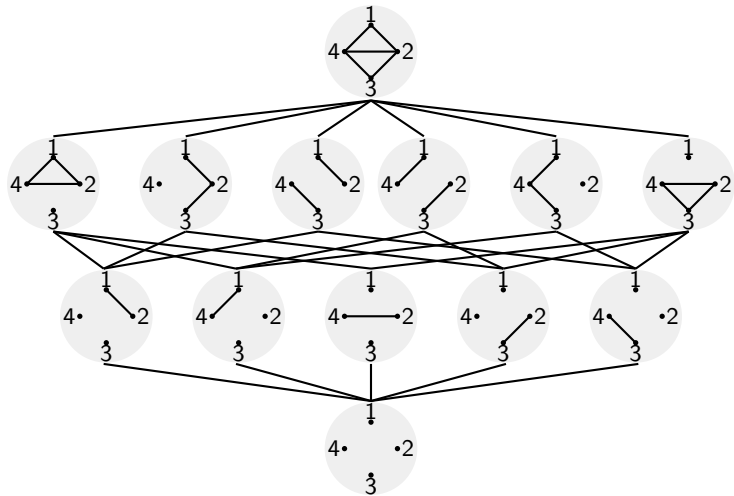
$\rho(x) =$  the length of a maximal chain from  $\hat{0}$  to  $x$ .



$\rho(H) =$  maximum number of edges in a forest of  $H$ .

# Whitney Numbers of the Second Kind

Given a ranked poset,  $P$ , the  $i^{\text{th}}$  **Whitney number of the second kind**,  $W_i$ , is the number of elements of rank  $i$ .



$$W_0 = 1, W_1 = 5, W_2 = 6, W_4 = 1$$

# The Top-Heavy Conjecture (for lattice of flats)

Let  $L$  be the lattice of flats of a matroid and let  $W_i$  be the  $i^{\text{th}}$  Whitney number of the second kind. Then

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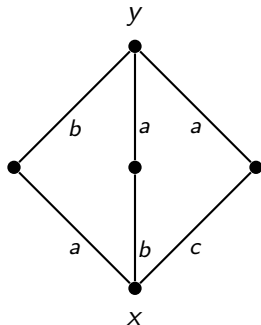
$$W_i \leq W_{n-i} \text{ for } i \leq \frac{n}{2}$$

where  $n$  is the rank of  $L$ .

Note that one advantage of this formulation is that one can ask the same question for any graded poset.

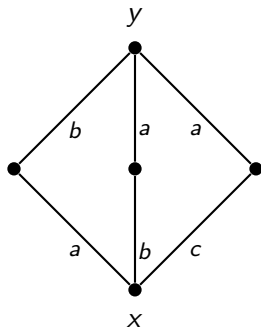
## Edge Labelings

Let  $P$  be a poset. An **edge labeling** of  $P$  is a labeling of the Hasse diagram of  $P$  from a set of labels, which are totally ordered. Let  $x, y \in P$ . A maximal chain from  $x$  to  $y$  is called **increasing** if the labels along the chain from  $x$  to  $y$  are strictly increasing.



## Edge Labelings

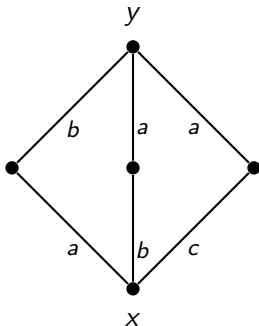
An edge labeling is an **ER-labeling** if for all  $x \leq y$ , there exists a unique maximal chain from  $x$  to  $y$  which is increasing.





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ER-labeling was originally introduced by Stanley to compute the Möbius function of posets. It was later shown by Björner that, by requiring a little bit more, these labelings could be used to understand the topology of the order complex of a poset.

# Increasing Chains and Whitney Numbers

## Observation

*Let  $P$  be a poset with an ER-labeling. Then for all  $x \in P$ , there is a unique increasing maximal chain from  $\hat{0}$  to  $x$ .*

# Increasing Chains and Whitney Numbers

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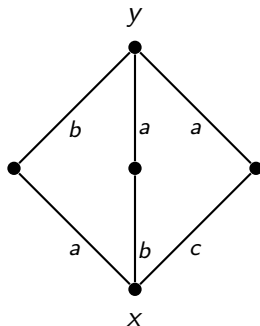
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For many labelings, the labels along the unique increasing chain from  $\hat{0}$  to  $x$  completely determine  $x$ . In these cases, the Whitney numbers of the second kind count these sequences.

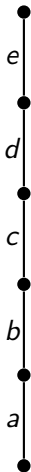
# The Rank Two Switching Property

Let  $P$  be a poset with an ER-labeling. We say the ER-labeling has the **rank two switching property** if whenever  $x \leq y$  with  $\rho(y) - \rho(x) = 2$ , if the unique increasing maximal chain is labeled by  $ab$ , there is a unique maximal chain labeled by  $ba$ .



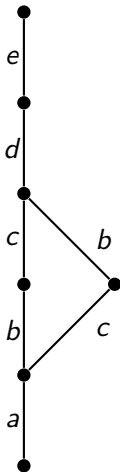
## Increasing Chains and the Rank Two Switching Property

Consider the increasing chain below labeled by  $abcde$ . Using the rank two switching property, we can find an increasing chain from the bottom labeled by  $ace$ .



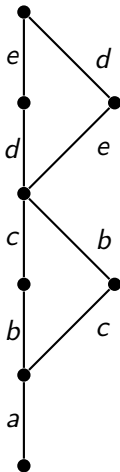
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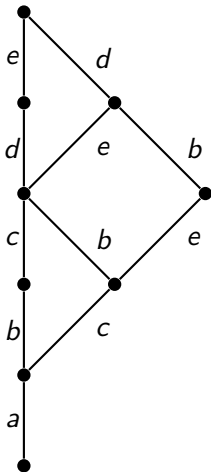
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# Increasing Chains and the Rank Two Switching Property

## Proposition

*Let  $P$  be a poset with an ER-labeling with the rank-two switching property. If  $\{a_1, a_2, \dots, a_k\}$  is the set of labels along some increasing maximal chain from  $\hat{0}$  to some element  $x$ , then for any subset of  $\{a_1, a_2, \dots, a_k\}$  there is an increasing maximal chain from  $\hat{0}$  to an element  $y \in P$  labeled by this subset of labels.*

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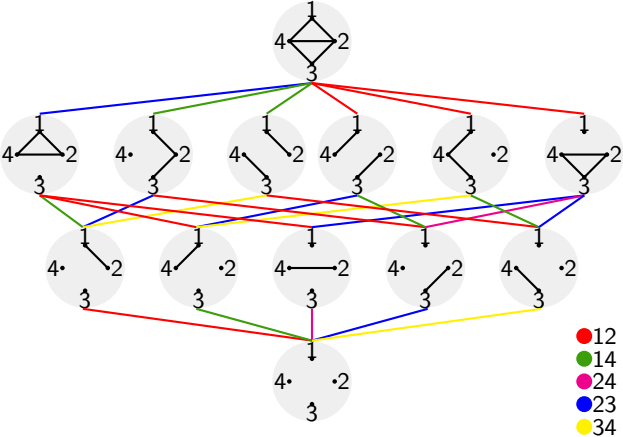
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As a result if one considers the collection of subsets of labels that appear along an increasing chains starting at  $\hat{0}$ , we get a simplicial complex. This complex is called the **increasing chain complex of the poset**. Note that this complex depends on the labeling.

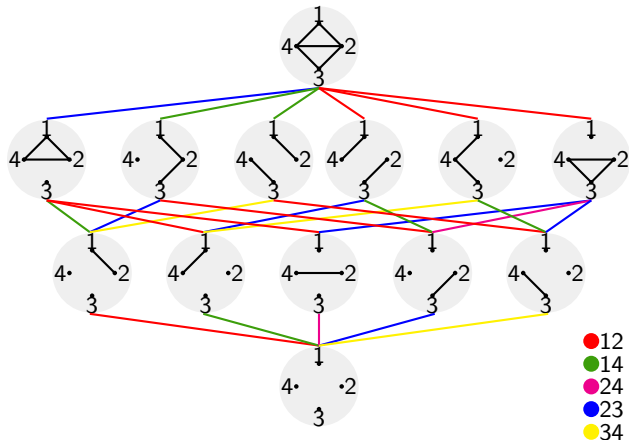
## Increasing Chain Complex

Lets look at an example. Consider the edge labeling of the lattice of flats of a graph  $G$  as follows. Order the edges of  $G$  lexicographically. Label the edge from  $H_1$  to  $H_2$  by  $\min E(H_2) \setminus E(H_1)$ . This labeling is known as the **minimum labeling** and was introduced by Björner.

# Minimum Labeling Example

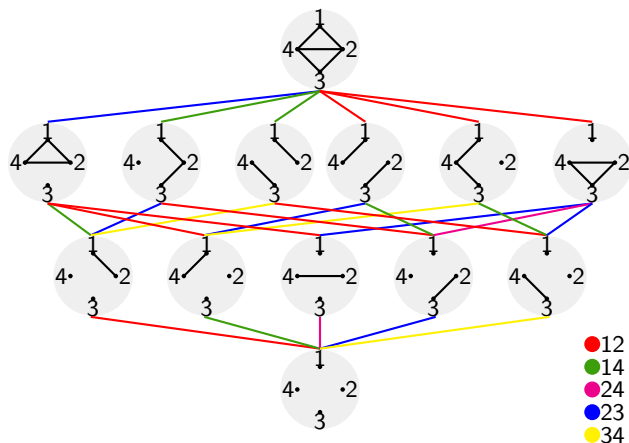


# Minimum Labeling Example



This labeling has the rank two switching property and the chains determine the elements.

## Minimum Labeling Example

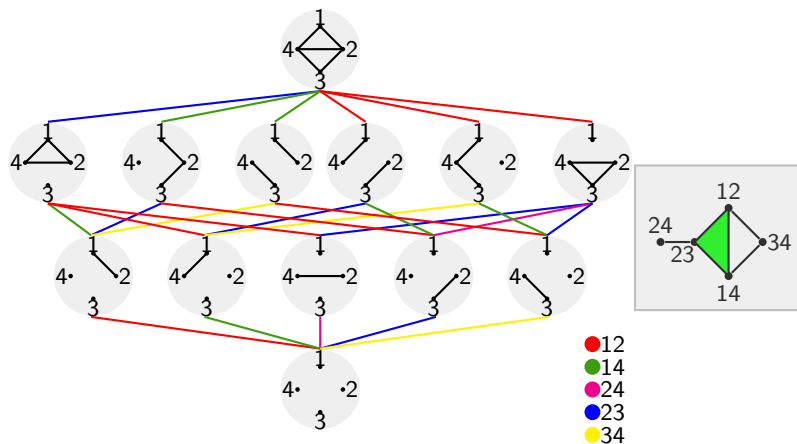


The sets of labels that appear along increasing chains are

$$\{12, 14, 23\}, \{12, 34\}, \{14, 34\}, \{23, 24\}$$

and their subsets.

# Increasing Chain Complex Example



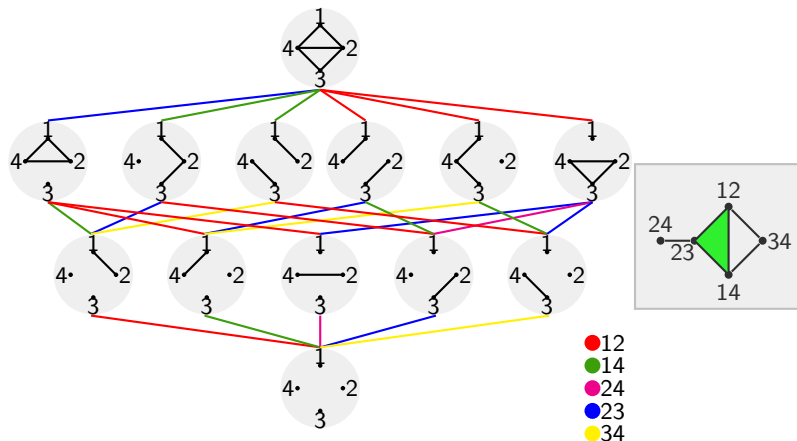
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# Increasing Chain Complex Example



Let  $W_i$  be the number faces of size  $i$  in the increasing chain complex. Then

$$W_0 = 1, W_1 = 5, W_2 = 6, W_3 = 1$$

# Increasing Chain Complex Properties

## Proposition

*Let  $P$  be a graded poset with an ER-labeling such that*

- ▶ *The labeling has the rank two switching property.*
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*Different labelings can produce non-isomorphic increasing chain complexes.*

THANK YOU