

# Increasing Spanning Forests in Graphs

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joint work with

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University of Kansas

**Bruce Sagan**

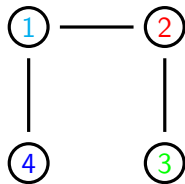
Michigan State University

SIAM DM 2018

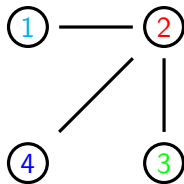
June 8, 2018

## Increasing Trees

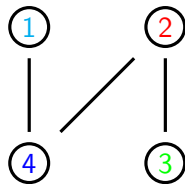
Let  $T$  be a tree with vertices labeled by distinct integers. We say  $T$  is *increasing* if the labels along any path starting at the smallest vertex are increasing.



Increasing



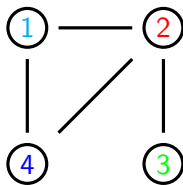
Increasing



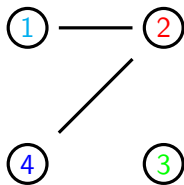
Not increasing

## Increasing Spanning Forest

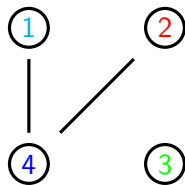
Let  $G$  be a graph with vertices labeled  $1, 2, \dots, n$ . We say a spanning subgraph of  $G$  is an *increasing spanning forest of  $G$*  if each connected component of the forest is an increasing tree.



$G$

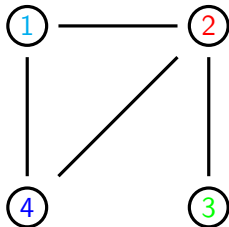


Increasing forest  
of  $G$



Not an increasing forest  
of  $G$

## Counting Increasing Spanning Forests



$G$

Number of Edges    Number of ISFs

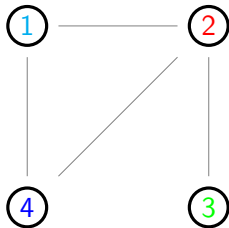
0

1

2

3

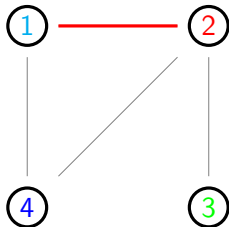
## Counting Increasing Spanning Forests



$G$

Number of Edges	Number of ISFs
0	1
1	
2	
3	

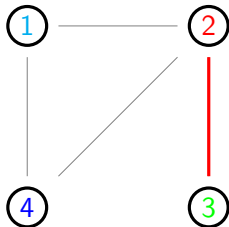
## Counting Increasing Spanning Forests



$G$

Number of Edges	Number of ISFs
0	1
1	1
2	
3	

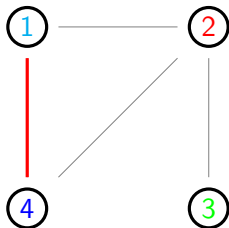
## Counting Increasing Spanning Forests



$G$

Number of Edges	Number of ISFs
0	1
1	1 + 1
2	
3	

## Counting Increasing Spanning Forests

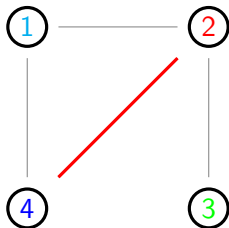


$G$

Number of Edges	Number of ISFs
0	1
1	1 + 1 + 1
2	
3	



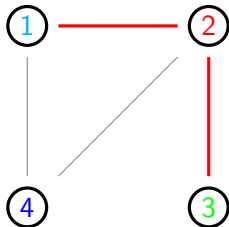
## Counting Increasing Spanning Forests



$G$

Number of Edges	Number of ISFs
0	1
1	$1 + 1 + 1 + 1 = 4$
2	
3	

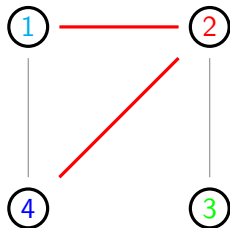
## Counting Increasing Spanning Forests



$G$

Number of Edges	Number of ISFs
0	1
1	4
2	1
3	

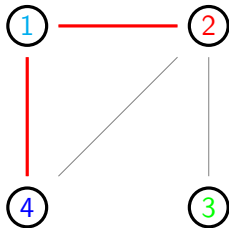
## Counting Increasing Spanning Forests



$G$

Number of Edges	Number of ISFs
0	1
1	4
2	$1 + 1$
3	

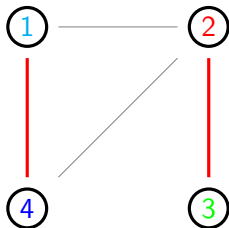
# Counting Increasing Spanning Forests



$G$

Number of Edges	Number of ISFs
0	1
1	4
2	$1 + 1 + 1$
3	

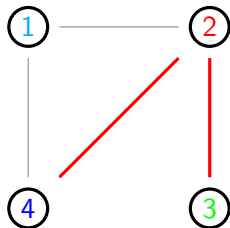
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$G$

Number of Edges	Number of ISFs
0	1
1	4
2	$1 + 1 + 1 + 1$
3	

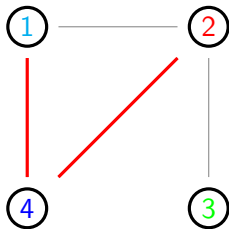
# Counting Increasing Spanning Forests



$G$

Number of Edges	Number of ISFs
0	1
1	4
2	$1 + 1 + 1 + 1 + 1$
3	

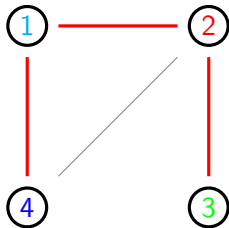
# Counting Increasing Spanning Forests



Not an increasing forest

Number of Edges	Number of ISFs
0	1
1	4
2	5
3	

# Counting Increasing Spanning Forests

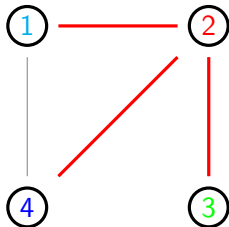


$G$

Number of Edges	Number of ISFs
0	1
1	4
2	5
3	1



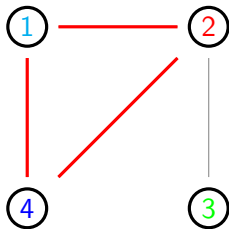
# Counting Increasing Spanning Forests



$G$

Number of Edges	Number of ISFs
0	1
1	4
2	5
3	1 + 1

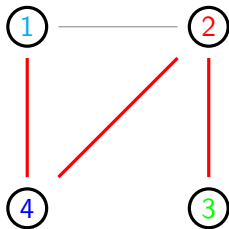
# Counting Increasing Spanning Forests



Not an increasing forest

Number of Edges	Number of ISFs
0	1
1	4
2	5
3	2

# Counting Increasing Spanning Forests



Not an increasing forest

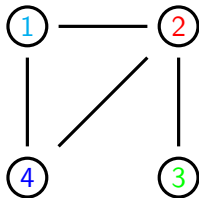
Number of Edges	Number of ISFs
0	1
1	4
2	5
3	2

# ISF Generating Function

Let  $G$  be a graph with vertices labeled by  $\{1, 2, \dots, n\}$  and let  $isf_k(G)$  be the number of increasing spanning forests of  $G$  with  $k$  edges. The *increasing spanning forest generating function* is given by

$$ISF(G, t) = \sum_{k=0}^{n-1} isf_k(G) t^{n-k}.$$

## Example



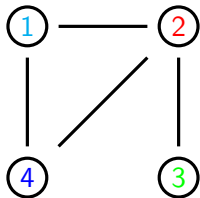
$G$

Number of Edges	Number of ISFs
0	1
1	4
2	5
3	2

Using the table we get,

$$ISF(G, t) = t^4 + 4t^3 + 5t^2 + 2t$$

## Example



$G$

Number of Edges	Number of ISFs
0	1
1	4
2	5
3	2

Using the table we get,

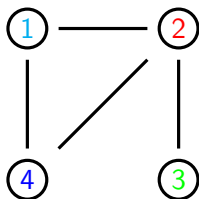
$$ISF(G, t) = t^4 + 4t^3 + 5t^2 + 2t = t(t+1)^2(t+2).$$

## Partition of the Edge Set

Let  $G$  be a graph with vertices labeled by  $\{1, 2, \dots, n\}$ . For each  $1 \leq j \leq n$ , let  $E_j$  be the set of edges of  $G$  of the form  $ij$  where  $i < j$

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$G$

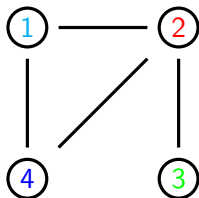
For  $G$  we get the following sets

$$E_1 =$$



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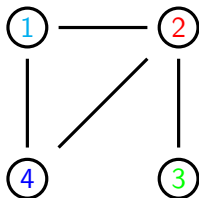
$G$

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$$E_1 = \emptyset, E_2 =$$

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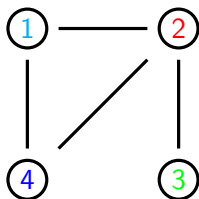
$G$

For  $G$  we get the following sets

$$E_1 = \emptyset, E_2 = \{12\}, E_3 =$$

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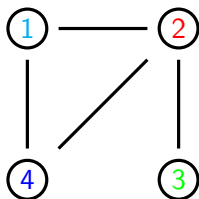
$G$

For  $G$  we get the following sets

$$E_1 = \emptyset, E_2 = \{12\}, E_3 = \{23\}, E_4 =$$

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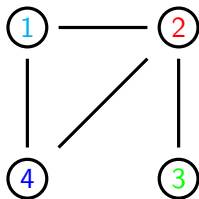
$G$

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$G$

For  $G$  we get the following sets

$$E_1 = \emptyset, E_2 = \{12\}, E_3 = \{23\}, E_4 = \{14, 24\}.$$

And the increasing spanning forest generating function is given by

$$ISF(G, t) = t(t+1)^2(t+2) = (t + |E_1|)(t + |E_2|)(t + |E_3|)(t + |E_4|),$$

where  $|E_i|$  is the number of edges in  $E_i$ .

# Increasing Spanning Forests

## Theorem (H-Sagan)

*Let  $G$  be a graph with vertices labeled by  $\{1, 2, \dots, n\}$ . Define the  $E_j$  as before. Then*

$$ISF(G, t) = (t + |E_1|)(t + |E_2|) \cdots (t + |E_n|).$$

# Increasing Spanning Forests

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$$ISF(G, t) = (t + |E_1|)(t + |E_2|) \cdots (t + |E_n|).$$

A note of caution:  $ISF(G, t)$  does depend on the choice of labeling of the vertex set.

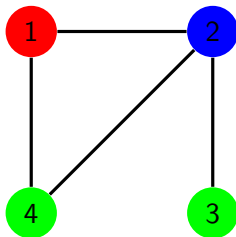
# Graph Coloring

Let  $G$  be a graph, a *proper coloring* of  $G$  is a choice of color for each vertex in  $G$  such that any two vertices which have an edge between them are colored differently.



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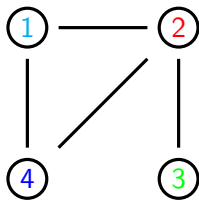


A proper coloring using three colors

# The Chromatic Polynomial

Let  $G$  be a graph the *chromatic polynomial* of  $G$  is

$\chi(G, t) =$  the number of proper colorings of  $G$  with  $t$  colors.

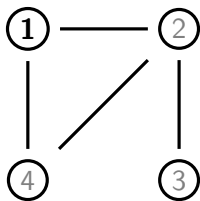


$G$

# The Chromatic Polynomial

## Theorem

*The chromatic polynomial is a polynomial.*

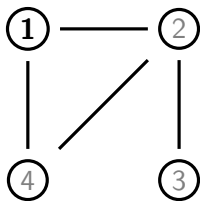


G

# The Chromatic Polynomial

## Theorem

*The chromatic polynomial is a polynomial.*



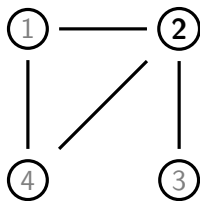
$G$

$$\chi(G, t) = t$$

# The Chromatic Polynomial

## Theorem

*The chromatic polynomial is a polynomial.*



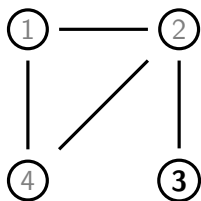
$G$

$$\chi(G, t) = t(t - 1)$$

# The Chromatic Polynomial

## Theorem

*The chromatic polynomial is a polynomial.*



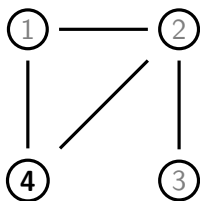
$G$

$$\chi(G, t) = t(t-1)(t-1)$$

# The Chromatic Polynomial

## Theorem

*The chromatic polynomial is a polynomial.*

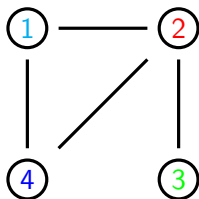


$G$

$$\chi(G, t) = t(t-1)(t-1)(t-2)$$

# The Chromatic Polynomial and Increasing Forests

Let us again consider the graph below.



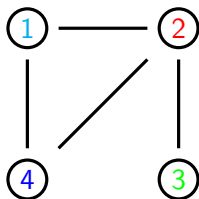
$G$

$$\chi(G, t) = t(t-1)^2(t-2).$$



# The Chromatic Polynomial and Increasing Forests

Let us again consider the graph below.



$G$

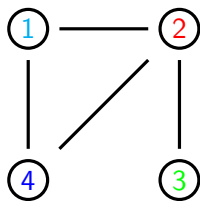
$$\chi(G, t) = t(t-1)^2(t-2).$$

Recall that for this labeling of the vertices,

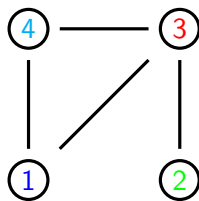
$$ISF(G, t) = t(t+1)^2(t+2).$$

## Perfect Elimination Order

Let  $G$  be a graph with vertices labeled by  $\{1, 2, \dots, n\}$ . We say this vertex labeling is a *perfect elimination order (PEO)* provided whenever  $ik, jk$  are edges with  $i < j < k$ ,  $ij$  is an edge too.



A PEO



Not A PEO

# The Chromatic Polynomial and Increasing Forests

## Theorem (H-Sagan)

*Let  $G$  be a graph with vertices labeled by  $\{1, 2, \dots, n\}$ . Then*

$$ISF(G, t) = (-1)^n \chi(G, -t)$$

*if and only if the labeling on the vertices is a perfect elimination order.*

# Permutation Patterns

Let  $\pi = \pi_1\pi_2 \cdots \pi_n$  and  $\sigma = \sigma_1\sigma_2 \cdots \sigma_k$  be permutations. We say  $\pi$  *contains*  $\sigma$  if there exists a (not necessarily continuous) subsequence of  $\pi$  which is in the same relative order as  $\sigma$ , otherwise we say  $\pi$  *avoids*  $\sigma$ .

**Example** The permutation 6892 contains 231 from the 682 (or 692 or 892). The permutation 1324 avoids 231.

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**Example** The permutation 6892 contains 231 from the 682 (or 692 or 892). The permutation 1324 avoids 231.

Note that a permutation is increasing if and only if it avoids 21.

## Tight Trees

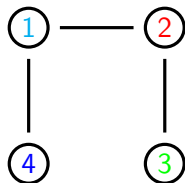
Let  $T$  be a tree with vertices labeled by distinct integers and let  $\sigma$  be a permutation. We say  $T$  *avoids*  $\sigma$  if for every path starting at the smallest vertex of  $T$  the permutation along the path avoids  $\sigma$ . Note that an increasing tree is just a tree which avoids 21.

We say a tree is *tight* if it avoids the patterns 231, 312, and 321.

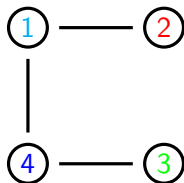
## Tight Trees

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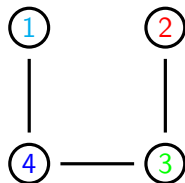
We say a tree is *tight* if it avoids the patterns 231, 312, and 321.



123, 14  
Tight



12, 143  
Tight



1432  
Not Tight

# Tight Spanning Forests

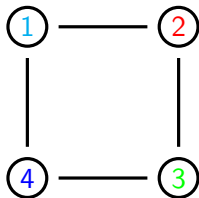
Just as we did with increasing spanning forests, we create a generating function.

$$TSF(G, t) = \sum_{k=0}^{n-1} tsf_k(G) t^{n-k}$$

where  $G$  has  $n$  vertices and  $tsf_k$  is the number of spanning forests of  $G$  with  $k$  edges such that each component is a tight tree.



## Example



$G$

Number of Edges	Number of TSFs
0	1
1	4
2	6
3	3

Using the table we get,

$$TSF(G, t) = t^4 + 4t^3 + 6t^2 + 3t$$

# Tight Spanning Forests

## Theorem (H-Martin-Sagan)

*Let  $G$  be a graph. There is a labeling of  $G$  so that all the roots of  $TSF(G, t)$  are integers if and only if  $G$  is a forest.*

# Tight Spanning Forests

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*Let  $G$  be a graph. There is a labeling of  $G$  so that all the roots of  $TSF(G, t)$  are integers if and only if  $G$  is a forest.*

Martin, Sagan, and I also introduced a new type of labeling with the property that  $TSF(G, t)$  is (up to a sign) the chromatic polynomial of  $G$  if and only if the  $G$  is labeled that way.

Thank You!