

# A Combinatorial Hopf Algebra of Simplicial Complexes

Josh Hallam  
Department of Mathematics  
Wake Forest University

Joint work with Carolina Benedetti and John Machacek

AMS Fall Sectional Meeting 2015  
Special Session on Hopf Algebraic Combinatorics

October 3, 2015

# Background

A finite (abstract) *simplicial complex*,  $\Gamma$ , is a collection of subsets from some fixed finite set such that if  $X \in \Gamma$  and  $Y \subseteq X$ , then  $Y \in \Gamma$ .

# Background

A finite (abstract) *simplicial complex*,  $\Gamma$ , is a collection of subsets from some fixed finite set such that if  $X \in \Gamma$  and  $Y \subseteq X$ , then  $Y \in \Gamma$ .

The elements of  $\Gamma$  are called *faces* and maximal (with respect to inclusion) elements are called *facets*.

# Background

A finite (abstract) *simplicial complex*,  $\Gamma$ , is a collection of subsets from some fixed finite set such that if  $X \in \Gamma$  and  $Y \subseteq X$ , then  $Y \in \Gamma$ .

The elements of  $\Gamma$  are called *faces* and maximal (with respect to inclusion) elements are called *facets*.

The *dimension* of a face  $X$  is  $\dim(X) = |X| - 1$ . The dimension of  $\Gamma$  is  $\dim(\Gamma) = \max_F \dim(F)$ .

# Background

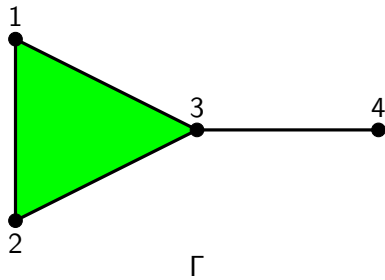
A finite (abstract) *simplicial complex*,  $\Gamma$ , is a collection of subsets from some fixed finite set such that if  $X \in \Gamma$  and  $Y \subseteq X$ , then  $Y \in \Gamma$ .

The elements of  $\Gamma$  are called *faces* and maximal (with respect to inclusion) elements are called *facets*.

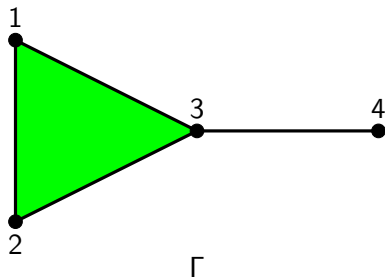
The *dimension* of a face  $X$  is  $\dim(X) = |X| - 1$ . The dimension of  $\Gamma$  is  $\dim(\Gamma) = \max_F \dim(F)$ .

The elements of dimension 0 are called *vertices*. The elements of dimension 1 are called *edges*.

## Example

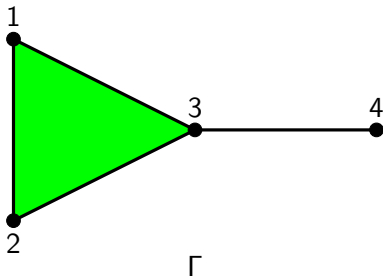


## Example



The facets of  $\Gamma$  are  $\{1, 2, 3\}$  and  $\{3, 4\}$ .

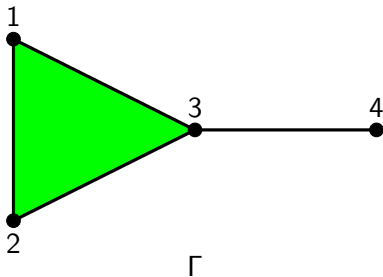
## Example



The facets of  $\Gamma$  are  $\{1, 2, 3\}$  and  $\{3, 4\}$ . The vertices are 1, 2, 3, 4.



## Example



The facets of  $\Gamma$  are  $\{1, 2, 3\}$  and  $\{3, 4\}$ . The vertices are 1, 2, 3, 4. The dimension of  $\Gamma$  is 2.

# Hopf Algebra Structure

Let  $\mathbb{K}$  be a field and let

$$\mathcal{A} = \bigoplus_{n \geq 0} A_n$$

where  $A_n$  is the  $\mathbb{K}$ -linear span of isomorphism classes of simplicial complexes on  $n$  vertices.

# Hopf Algebra Structure

Let  $\mathbb{K}$  be a field and let

$$\mathcal{A} = \bigoplus_{n \geq 0} A_n$$

where  $A_n$  is the  $\mathbb{K}$ -linear span of isomorphism classes of simplicial complexes on  $n$  vertices.

The product  $m : \mathcal{A} \otimes \mathcal{A} \rightarrow \mathcal{A}$  is given by disjoint union of the two simplicial complexes.

# Hopf Algebra Structure

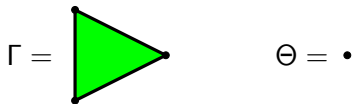
Let  $\mathbb{K}$  be a field and let

$$\mathcal{A} = \bigoplus_{n \geq 0} A_n$$

where  $A_n$  is the  $\mathbb{K}$ -linear span of isomorphism classes of simplicial complexes on  $n$  vertices.

The product  $m : \mathcal{A} \otimes \mathcal{A} \rightarrow \mathcal{A}$  is given by disjoint union of the two simplicial complexes.

**Example** If  $\Gamma$  and  $\Theta$  are as below



# Hopf Algebra Structure

Let  $\mathbb{K}$  be a field and let

$$\mathcal{A} = \bigoplus_{n \geq 0} A_n$$

where  $A_n$  is the  $\mathbb{K}$ -linear span of isomorphism classes of simplicial complexes on  $n$  vertices.

The product  $m : \mathcal{A} \otimes \mathcal{A} \rightarrow \mathcal{A}$  is given by disjoint union of the two simplicial complexes.

**Example** If  $\Gamma$  and  $\Theta$  are as below

$$\Gamma = \text{triangle} \quad \Theta = \bullet$$

then

$$m(\Gamma \otimes \Theta) = \text{triangle} \cup \bullet$$

# Hopf Algebra Structure

## Coproduct

Let  $\Gamma$  be a simplicial complex. Given a subset  $T$  of the vertex set  $V(\Gamma)$ , we define

$$\Gamma_T = \{X \cap T \mid X \in \Gamma\}.$$

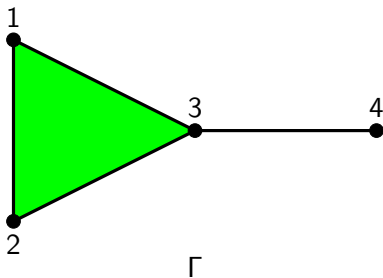
# Hopf Algebra Structure

## Coproduct

Let  $\Gamma$  be a simplicial complex. Given a subset  $T$  of the vertex set  $V(\Gamma)$ , we define

$$\Gamma_T = \{X \cap T \mid X \in \Gamma\}.$$

**Example** Suppose  $T = \{1, 3, 4\}$



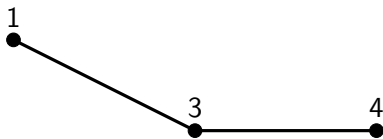
# Hopf Algebra Structure

## Coproduct

Let  $\Gamma$  be a simplicial complex. Given a subset  $T$  of the vertex set  $V(\Gamma)$ , we define

$$\Gamma_T = \{X \cap T \mid X \in \Gamma\}.$$

**Example** Suppose  $T = \{1, 3, 4\}$



$\Gamma_T$



# Hopf Algebra Structure

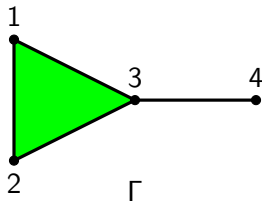
## Coproduct

The coproduct  $\Delta : \mathcal{A} \rightarrow \mathcal{A} \otimes \mathcal{A}$  is given by

$$\Delta(\Gamma) = \sum_{T \subseteq V(\Gamma)} \Gamma_T \otimes \Gamma_{V(\Gamma) \setminus T}$$

## Coproduct Example

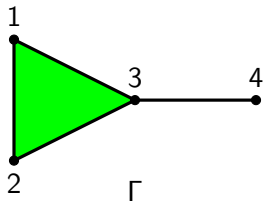
Suppose  $\Gamma$  was as before



then  $\Delta(\Gamma) =$

# Coproduct Example

Suppose  $\Gamma$  was as before



then  $\Delta(\Gamma) =$

$$\begin{aligned}
 & \emptyset \otimes \text{triangle} + 2 \cdot \text{dot} \otimes \text{line} + \text{dot} \otimes \text{bracket} + \dots + \text{dot} \otimes \text{triangle} + \\
 & 2 \left[ \text{bracket} \otimes \text{dot} + \text{dot} \otimes \text{bracket} \right] + 2 \left[ \text{bracket} \otimes \text{line} + \text{line} \otimes \text{bracket} \right] + \dots
 \end{aligned}$$

# Antipode

Let  $\Gamma$  be a simplicial complex and let  $k$  be a nonnegative integer.  
The  *$k$ -skeleton* of  $\Gamma$  is

$$\Gamma^{(k)} = \{X \mid X \in \Gamma \text{ and } \dim(X) \leq k\}$$

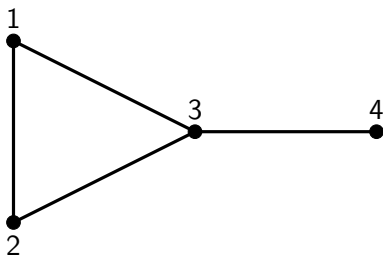
## Antipode

Let  $G = (V, E)$  be a graph. A *flat* of  $G$  is a subset  $F$  of the edge set such that in the graph with vertex set  $V$  and edge set  $F$ , each connected component is an induced subgraph of  $G$ .

## Antipode

Let  $G = (V, E)$  be a graph. A *flat* of  $G$  is a subset  $F$  of the edge set such that in the graph with vertex set  $V$  and edge set  $F$ , each connected component is an induced subgraph of  $G$ .

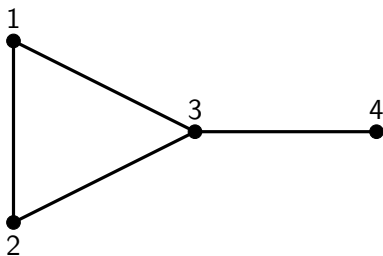
**Example**



## Antipode

Let  $G = (V, E)$  be a graph. A *flat* of  $G$  is a subset  $F$  of the edge set such that in the graph with vertex set  $V$  and edge set  $F$ , each connected component is an induced subgraph of  $G$ .

**Example**



The flats are

$$\emptyset, \{12\}, \{13\}, \{23\}, \{34\}, \{12, 13, 23\}, \\ \{12, 34\}, \{13, 34\}, \{23, 34\}, \{12, 13, 23, 34\}.$$

## Antipode

Let  $\Gamma$  be a simplicial complex with vertex set  $V$ . Let  $F$  be a flat of the one-skeleton  $\Gamma^{(1)}$ . Define

$$\Gamma_F = \{X \in \Gamma \mid X^{(1)} \subseteq G_{V,F}\}$$

where  $G_{V,F}$  is the subgraph of the one-skeleton of  $\Gamma^{(1)}$  with vertex set  $V$  and edge set  $F$ .



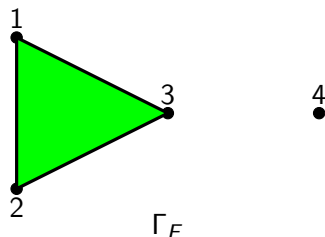
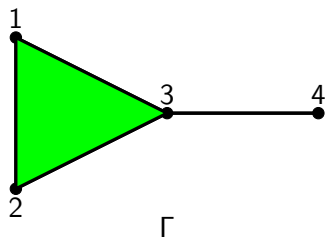
# Antipode

Let  $\Gamma$  be a simplicial complex with vertex set  $V$ . Let  $F$  be a flat of the one-skeleton  $\Gamma^{(1)}$ . Define

$$\Gamma_F = \{X \in \Gamma \mid X^{(1)} \subseteq G_{V,F}\}$$

where  $G_{V,F}$  is the subgraph of the one-skeleton of  $\Gamma^{(1)}$  with vertex set  $V$  and edge set  $F$ .

**Example** Let  $F = \{12, 13, 23\}$ . Then



# Antipode

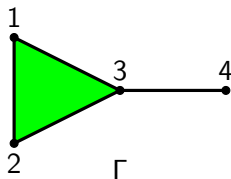
## Theorem

Let  $\Gamma$  be a simplicial complex, then the antipode is given by

$$S(\Gamma) = \sum_F (-1)^{c(F)} a(\Gamma^{(1)}/F) \Gamma_F$$

where the sum is over all flats of the one-skeleton of  $\Gamma$ ,  $c(F)$  is the number of connected components of  $G_{V(\Gamma),F}$ , and  $a(\Gamma^{(1)}/F)$  is the number of acyclic orientations of  $\Gamma^{(1)}/F$ .

## Antipode Example



$F$ a flat	$(-1)^{c(F)}$	$a(\Gamma^{(1)}/F)$
$\emptyset$	$(-1)^4$	12
$\{12\}$	$(-1)^3$	4
$\{13\}$	$(-1)^3$	4
$\{23\}$	$(-1)^3$	4
$\{34\}$	$(-1)^3$	6
$\{12, 34\}$	$(-1)^2$	2
$\{13, 34\}$	$(-1)^2$	2
$\{23, 34\}$	$(-1)^2$	2
$\{12, 13, 23\}$	$(-1)^2$	2
$\{12, 13, 23, 34\}$	$(-1)^1$	1

# Antipode Example

$$S(\text{triangle} \rightarrow \bullet) =$$

$$12(\bullet \bullet \bullet \bullet) - 18(\bullet \rightarrow \bullet \bullet \bullet) + 2(\bullet \rightarrow \bullet \bullet \rightarrow \bullet) +$$

$$4(\bullet \rightarrow \bullet \rightarrow \bullet \bullet) + 2(\text{triangle} \bullet) - 1(\text{triangle} \rightarrow \bullet)$$

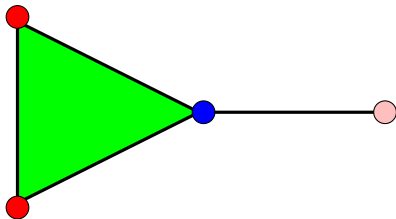
## Characters and Colorings

Given a simplicial complex  $\Gamma$  and a nonnegative integer  $s$ , an  *$s$ -simplicial coloring* of  $\Gamma$  is an assignment of colors to the vertices of  $\Gamma$  such that no  $s$ -dimensional face is monochromatic.

## Characters and Colorings

Given a simplicial complex  $\Gamma$  and a nonnegative integer  $s$ , an *s-simplicial coloring* of  $\Gamma$  is an assignment of colors to the vertices of  $\Gamma$  such that no  $s$ -dimensional face is monochromatic.

**Example**



A coloring which is a 2-simplicial coloring, but not a 1-simplicial coloring

## Characters and Colorings

Let  $\Gamma$  be a simplicial complex with vertex set  $V = \{v_1, v_2, \dots, v_n\}$ .

Let  $s$  be a nonnegative integer. The *s-chromatic symmetric function* is

$$\psi_s(\Gamma) = \sum_{f: V \rightarrow \mathbb{P}} x_{f(v_1)} x_{f(v_2)} \cdots x_{f(v_n)}$$

where the sum is over all  $s$ -simplicial colorings (with colors from the positive integers  $\mathbb{P}$ ).

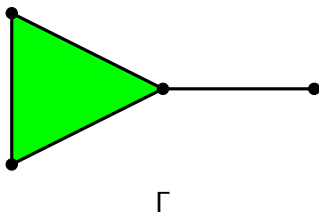
## Characters and Colorings

Let  $\Gamma$  be a simplicial complex with vertex set  $V = \{v_1, v_2, \dots, v_n\}$ . Let  $s$  be a nonnegative integer. The *s-chromatic symmetric function* is

$$\Psi_s(\Gamma) = \sum_{f: V \rightarrow \mathbb{P}} x_{f(v_1)} x_{f(v_2)} \cdots x_{f(v_n)}$$

where the sum is over all  $s$ -simplicial colorings (with colors from the positive integers  $\mathbb{P}$ ).

**Example**



The terms  $x_1^2 x_2^2$  and  $x_1^3 x_2$  appear in  $\Psi_2(\Gamma)$ , but  $x_1^4$  does not.



## Characters and Colorings

For each nonnegative integer  $s$ , define

$$\zeta_s(\Gamma) = \begin{cases} 1 & \text{if } \dim(\Gamma) < s \\ 0 & \text{otherwise} \end{cases}$$

and extend linearly.

## Characters and Colorings

For each nonnegative integer  $s$ , define

$$\zeta_s(\Gamma) = \begin{cases} 1 & \text{if } \dim(\Gamma) < s \\ 0 & \text{otherwise} \end{cases}$$

and extend linearly.

The (quasi)symmetric function associated with  $\zeta_s$  is the  $s$ -chromatic symmetric function.

# Simplicial Chromatic Polynomial

Let  $\Gamma$  be a simplicial complex. The *s-chromatic polynomial* is

$$\chi_s(\Gamma, t) = \#(s\text{-simplicial colorings of } \Gamma \text{ with } t \text{ colors}).$$

# Simplicial Chromatic Polynomial

Let  $\Gamma$  be a simplicial complex. The *s-chromatic polynomial* is

$$\chi_s(\Gamma, t) = \#(s\text{-simplicial colorings of } \Gamma \text{ with } t \text{ colors}).$$

## Proposition

Let  $\Gamma$  be a simplicial complex. Then for all positive integers  $s$ , we have

$$\chi_s(\Gamma, -1) = \sum_{F; \dim(\Gamma_F) < s} (-1)^{c(F)} a(\Gamma^{(1)}/F)$$

where the sum is over all flats of the one-skeleton such that  $\dim(\Gamma_F) < s$ .

## $q$ -analog

For each nonnegative integer  $s$ , define

$$\zeta_{s,q}(\Gamma) = \begin{cases} q^{rk(\Gamma^{(1)})} & \text{if } \dim(\Gamma) < s \\ 0 & \text{otherwise} \end{cases}$$

and extend linearly. Here  $rk(\Gamma^{(1)})$  is the rank of the one-skeleton of  $\Gamma$ .

## $q$ -analog

For each nonnegative integer  $s$ , define

$$\zeta_{s,q}(\Gamma) = \begin{cases} q^{rk(\Gamma^{(1)})} & \text{if } \dim(\Gamma) < s \\ 0 & \text{otherwise} \end{cases}$$

and extend linearly. Here  $rk(\Gamma^{(1)})$  is the rank of the one-skeleton of  $\Gamma$ .

Note that  $\zeta_{s,q}(\Gamma) = q^{rk(\Gamma^{(1)})} \zeta_s(\Gamma)$ . Moreover, note that

$$\zeta_{s,1} = \zeta_s$$

and

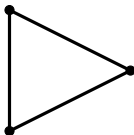
$$\zeta_{s,0} = \zeta_1$$

provided  $s \geq 1$ .

# $q$ -analog

## Example

Suppose  $\Gamma$  is the complete graph on 3 vertices.

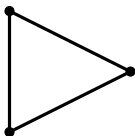


$K_3$

## $q$ -analog

### Example

Suppose  $\Gamma$  is the complete graph on 3 vertices.



$K_3$

Then for  $s > 1$ ,

$$\Psi_{s,q}(K_3) = q^2 m_{(3)} + 3qm_{(2,1)} + 6m_{(1,1,1)}.$$



## $q$ -analog

### Example

$$\Psi_{s,q}(K_3) = q^2 m_{(3)} + 3qm_{(2,1)} + 6m_{(1,1,1)}.$$

If you take the principal specialization (in the variables  $x_1, x_2, \dots$ ) at  $t$  we get

$$q^2 t + 3qt(t-1) + t(t-1)(t-2).$$

## $q$ -analog

### Example

$$\Psi_{s,q}(K_3) = q^2 m_{(3)} + 3qm_{(2,1)} + 6m_{(1,1,1)}.$$

If you take the principal specialization (in the variables  $x_1, x_2, \dots$ ) at  $t$  we get

$$q^2 t + 3qt(t-1) + t(t-1)(t-2).$$

Now evaluating at  $t = -1$  and setting  $q$  equal to  $-q$ ,

$$-q^2 - 6q - 6 = -(q^2 + 6q + 6).$$

## $q$ -analog

### Example

$$\Psi_{s,q}(K_3) = q^2 m_{(3)} + 3qm_{(2,1)} + 6m_{(1,1,1)}.$$

If you take the principal specialization (in the variables  $x_1, x_2, \dots$ ) at  $t$  we get

$$q^2 t + 3qt(t-1) + t(t-1)(t-2).$$

Now evaluating at  $t = -1$  and setting  $q$  equal to  $-q$ ,

$$-q^2 - 6q - 6 = -(q^2 + 6q + 6).$$

Recall that the Eulerian polynomial is given by

$$A_n(q+1) = \sum_{\omega \in \mathfrak{S}_n} (q+1)^{\text{des } \omega}.$$

## $q$ -analog

### Example

$$\Psi_{s,q}(K_3) = q^2 m_{(3)} + 3qm_{(2,1)} + 6m_{(1,1,1)}.$$

If you take the principal specialization (in the variables  $x_1, x_2, \dots$ ) at  $t$  we get

$$q^2 t + 3qt(t-1) + t(t-1)(t-2).$$

Now evaluating at  $t = -1$  and setting  $q$  equal to  $-q$ ,

$$-q^2 - 6q - 6 = -(q^2 + 6q + 6).$$

Recall that the Eulerian polynomial is given by

$$A_n(q+1) = \sum_{\omega \in \mathfrak{S}_n} (q+1)^{\text{des } \omega}.$$

For  $n = 3$ , we get

$$A_3(q+1) = q^2 + 6q + 6.$$

## $q$ -analog

Let  $\Psi_{s,q}$  be the symmetric function associated with  $\zeta_{s,q}$ . Let  $ps^1(\Psi_{s,q})(-1)$  be the principal specialization of  $\Psi_{s,q}$  at  $-1$ .

## $q$ -analog

Let  $\Psi_{s,q}$  be the symmetric function associated with  $\zeta_{s,q}$ . Let  $ps^1(\Psi_{s,q})(-1)$  be the principal specialization of  $\Psi_{s,q}$  at  $-1$ .

### Proposition

Let  $K_n$  be the complete graph on  $n$  vertices. Then

$$ps^1(\Psi_{s,-q}(K_n))(-1) = (-1)^n A_n(q+1).$$

where

$$A_n(q+1) = \sum_{\omega \in \mathfrak{S}_n} (q+1)^{des \omega}.$$

is the Eulerian polynomial.

## $q$ -analog

By calculating the principle specialization a different way, one obtains the following identity

$$A_n(q+1) = \sum_{\alpha \vDash n} \binom{n}{\alpha} q^{n-l(\alpha)}.$$

Here the sum is over compositions of  $n$ ,  $\binom{n}{\alpha} = \frac{n!}{\alpha_1! \alpha_2! \cdots \alpha_j!}$ , and  $l(\alpha)$  is the length of the composition  $\alpha$ .

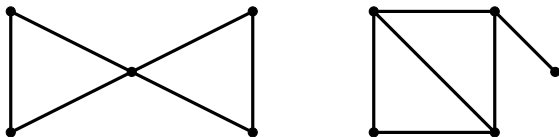
## $q$ -analogs

How well can  $\Psi_{s,q}$  distinguish graphs? Since the chromatic symmetric function can be recovered from  $\Psi_{s,q}$  by setting  $q = 0$ , anything the chromatic symmetric function can tell apart, so can this  $q$ -analog.



## $q$ -analog

How well can  $\Psi_{s,q}$  distinguish graphs? Since the chromatic symmetric function can be recovered from  $\Psi_{s,q}$  by setting  $q = 0$ , anything the chromatic symmetric function can tell apart, so can this  $q$ -analog.



The chromatic symmetric function cannot distinguish the graphs above, but the  $q$ -analog can. The coefficient of  $m_{(3,2)}$  for the graph on the left is  $2q^3 + 8q^2$  whereas the coefficient for the graph on the right is  $3q^3 + 6q^2 + q$ .

Thank You!